

Seniors and People with Disabilities

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Authorized Signature

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CORRECTED

Topic: Long Term Care

Subject: PASRR - 1.) Frequently Asked Questions, "FAQ", 2.) 2008 Netlink Training and 3.) Sample Training Invitation for Community Partners

Applies to (check all that apply):

- | | |
|------------------------------------------------------------|--------------------------------------------------------------------------|
| <input type="checkbox"/> All DHS employees | <input type="checkbox"/> County Mental Health Directors |
| <input checked="" type="checkbox"/> Area Agencies on Aging | <input type="checkbox"/> Health Services |
| <input type="checkbox"/> Children, Adults and Families | <input checked="" type="checkbox"/> Seniors and People with Disabilities |
| <input type="checkbox"/> County DD Program Managers | <input type="checkbox"/> Other (please specify): |

Message:

1. "PASRR Frequently Asked Questions", attached.
2. 2008 Netlink Training Schedule: "PASRR Essentials"

Pre-Admission Screening/Resident Review, "PASRR", pertains to federal rules for individuals with Serious Mental Illness, Mental Retardation or Developmental Disabilities seeking Nursing Facility (NF) services. **All workers who coordinate NF admissions must be familiar with the requirements for this program.**

Oregon Administrative Rules (OARs) for PASRR were revised effective 9/1/07. This training includes important highlights of recent Rule changes. For complete rule text, see OAR **411-070-0043** and for summary of rule changes see [SPD-PT-08-001](#) and [SPD-PT-08-002](#) .

Nursing Facility Surveys will increase review of PASRR as directed by the Centers for Medicare and Medicaid (CMS). Non-compliance with PASRR requirements could result in CMS recoup of Federal Financial Participation (FFP) for applicable residents. The CMS prioritization of PASRR is based on the 2007 Office of Inspector General (OIG) Report on PASRR for Younger NF Residents with Serious Mental Illness noted concern that individuals aged 22 to 64 with serious mental illness are inappropriately placed in nursing facilities. (<http://oig.hhs.gov/oei/reports/oei-05-05-00220.pdf>).

The target audiences for this course are:

1. Coordinators of nursing facility admissions including:

- Hospital discharge planners
- AAA/SPD PAS specialists and case managers
- Home health/hospice/medical clinic staff
- Private Admission Assessors

2. Nursing Facilities

Important Note: AAA/SPD offices are encouraged to invite local community partners (hospital discharge planners, nursing facility administrators/RCMs/social service/admissions staff) to attend the training as a group. Group training maximizes the opportunity for communication and collaboration to develop practices that fulfill Rule requirements and achieve service goals. Please see attached "sample training invitation", a local office "Best Practices" form, for use as a template to include community partners in group training.

Training content includes:

Overview of federal Pre-Admission Screening/Resident Review (PASRR) rules for residents with Mental Retardation or Developmental Disabilities (MR/DD) or Serious Mental Illness (MI) in nursing facilities

- Recent Oregon Administrative Rule (OAR) changes affecting PASRR
- Clarification of PASRR Level I, Level II and Resident Review functions
- NF documentation and survey expectations

Note: This course combines content of previous netlink courses, "PASRR Essentials for Nursing Facilities" and "Admission Requirements for Nursing Facility Admissions (PASRR)". Workers who have participated in those trainings do not need to repeat this course.

Netlink Training Schedule:

04/23/08 01:00 PM – 04:30 PM

06/04/08 01:00 PM – 04:30 PM

07/08/08 01:00 PM – 04:30 PM

09/11/08 01:00 PM – 04:30 PM

11/06/08 01:00 PM – 04:30 PM

Training Registration:

These sessions have been posted on the DHS Learning Center Registration web site. Please sign up through the Learning Center at:

<https://dhslearn.hr.state.or.us/>

Use Find a Course and Register: Search by keyword, PASRR, or course number C00673 .

If you have any questions about the registration process, please call the DHS Service Desk, (503) 945-5623.

If you have any questions about this information, contact:

Contact(s):	Renee Shearer		
Phone:	(503) 945-5923	Fax:	(503) 378-8966
E-mail:	Renee.M.Shearer@state.or.us		

PASRR Essentials

_____ (insert local office name) has arranged to present a NetLink training, "PASRR Essentials", at our office on _____ (insert date, time). at _____ (insert location, address) We would like to invite our local community partners to attend the training as a group. Pre-Admission Screening/Resident Review ("PASRR") is the federal requirement for all Nursing Facility admissions to be screened for serious Mental Illness and Mental Retardation/Developmental Disabilities.

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This training is presented by the PASRR and Nursing Facility program coordinators at Seniors and People with Disabilities Central Office, Salem, and is a live presentation via computer link. Recently, Oregon Department of Human Services sent out a memo regarding upcoming Netlink trainings covering important changes in the PASRR program. The following information is excerpted from that memo:

Pre-Admission Screening/ Resident Review, "PASRR", pertains to the federal rules for individuals with Serious Mental Illness, Mental Retardation, or Developmental Disabilities seeking Nursing Facility (NF) services. All workers who coordinate NF admissions must be familiar with the requirements for this program.

Nursing Facility Surveys will increase review of PASRR as directed by the Centers for Medicare and Medicaid (CMS) Non-compliance with PASRR requirements could result in a CMS recoup of the Federal Financial Participation (FFP) for applicable residents. The CMS prioritization of PASRR is based on the 2007 Office Inspector General (OIG) Report on PASRR for Younger NF Residents with Serious Mental Illness noted concern that individuals aged 22-64 with serious mental illness are inappropriately placed in nursing facilities.

Please consider sending representatives from your organization to join us for this training. Complete the registration below and fax back to _____ (insert local office fax number here) confirming your plans to attend, including the number of staff attending and the name and telephone number of a contact person.

If you are not able to attend, you may register to participate in the training at your own location. For registration information and additional training dates, see the DHS Learning Center at <https://dhslearn.hr.state.or.us/>

Registration Form

FAX to: insert fax #

Name of organization: _____

Staff attending NetLink training: _____

Contact name and telephone number: _____

E-mail address: _____

If you have any questions, please call insert local office contact person/phone here

Pre-Admission Screening/Resident Review Frequently Asked Questions (FAQ)

Question: What is the difference between “PASRR”, “PAS” and “PAA”?

Answer:

PASRR refers to Pre-Admission Screening and Resident Review. This is a federal program that requires the identification of individuals with serious Mental Illness (MI), Mental Retardation (MR) or Developmental Disabilities (DD) indicators who are requesting admission to a Nursing Facility (NF) to determine the most appropriate care setting and services. Rules for PASRR are found in OAR 411-070-0043. *Note: For more details on PASRR, see the end of this document.*

PAS is the acronym for Pre-Admission Screening. PAS functions are provided by Seniors and People with Disabilities (SPD)/Area Agency on Aging (AAA) workers for individuals who will receive Medicaid payment for service in a nursing facility. PAS functions include determining that individuals meet the service eligibility requirements for Medicaid NF benefits, identifying individuals who are candidates for long-term care service in home or community-based service settings (HCBS) and assisting with the transition of individuals from the NF to HCBS. Rules for PAS are found in OAR 411-070-0040.

PAA refers to the Private Admission Assessment Program that provides long-term care assessment and information about alternatives to nursing facilities to individuals who are not financially eligible for Medicaid. Rules for PAA are found in OAR 411-071-0000 to 411-071-0115.

Some important differences between PASRR and PAS programs:

PASRR has nothing to do with payment.

PASRR Level I Screening must be completed for every individual who will have a nursing facility stay, regardless of age, payment or duration of NF stay.

Question: What is PASRR Level I Screening?

Answer:

Level I Screening is one component of PASRR, the federal requirement to identify any individual who may have indicators of Serious Mental Illness, Mental Retardation or Developmental Disabilities prior to NF admission.

Question: Who conducts PASRR Level I Screening?

Answer:

Hospital discharge planners, AAA/SPD PAS Specialist or Case Managers, Home Health, Hospice, Medical Clinic Social Workers or Private Admission Assessors { OAR 411-070-0043(3)(a)(A) }.

Question: When and Where is PASRR Level I Screening Performed?

Answer:

A qualified individual can conduct PASRR Level I Screen in any setting prior to admission to a nursing facility (hospital, emergency room, doctor's office, community).

Question: What About Out-of-State NF Admits?

Answer: PASRR is a federal program, so every state conducts Level I Screening. We encourage NFs to have this function completed prior to admission and Level I forms from other states are acceptable documentation.

Question: Specifically, What is the PASRR Level I Screening Function?

Answer:

There are "two steps" to PASRR Level I Screening:

1. Does the individual have indicators of serious Mental Illness (MI) or Mental Retardation/Developmental Disabilities (MR/DD)?
2. If the individual does have indicators, does the individual meet the conditions of a Categorical Determination? (continued on next page)

The current Categorical Determinations in Oregon Administrative Rule are:

- Exempted Hospital Discharge
- End of Life Care for Terminal Illness
- Emergency Situation (unexpected, sudden loss of in-home care provider or protective services action)

Questions: If an Individual with Positive Indicators of serious Mental Illness (MI), Mental Retardation (MR) or Developmental Disabilities (DD) is Identified, What Next?

Answer:

If the individual with MI/MR/DD does not meet the conditions of a categorical determination, NF admission cannot proceed and PASRR Level II must be requested (instructions for this notification process are listed on both form 0460 and the optional instruction form, 0460INS).

The PASRR Level I Screener documents the result on form 0460, requests Level II as indicated, communicates results and forwards form 0460 to the NF; these actions complete the function of PASRR Level I.

Question: What about individuals requesting a NF respite stay? Is PASRR Level I Screening Required?

Answer: Yes. PASRR Level I Screening must be completed for every NF admission.

Question: If an individual requesting a NF respite stay is identified with “positive indicators” of MI/MR/DD is Level II required prior to the NF respite stay?

Answer: Yes. The most common instance for this situation is MR/DD children; a NF respite stay must be approved by SPD Central Office. Follow the instructions for requesting Level II for an individual with MR/DD indicators who does not meet conditions of a Categorical Determination described on both form 0460 and the optional instruction form, 0460INS.

Question: If an individual has a diagnosis of chronic schizophrenia but has been stable with current treatment regimen, does the PASRR Level I Screener consider the person “negative” for PASRR serious Mental Illness (MI) indicators?

Answer: Yes. PASRR criteria for serious Mental Illness (MI) requires all three of the following criteria, {42CFR483.102(b)(1)(i)-(iii)}:

1. Diagnosis of chronic mental illness
2. Level of Impairment – past 3 to 6 months
 - a. Interpersonal functioning
 - b. Ability to concentrate and complete tasks
 - c. Ability to adapt to change
3. Recent Treatment – past two years
 - a. More than one episode of psychiatric hospitalization
 - b. Due to mental health diagnosis, the person has sustained significant disruption to their living situation requiring support services, residential treatment or intervention by housing or law authorities

It is important to note that most people with mental health diagnoses will not meet the other two criteria of “serious mental illness” defined for PASRR and therefore are considered “negative” for serious mental illness indicators according to PASRR.

Question: What is the recommendation when a PASRR Level I Screen is positive for diagnosis of serious mental illness and history is unavailable to determine “recent treatment” (mental health treatment history for the past two-years)?

Answer: If an individual has a diagnosis of chronic mental illness or dementia and exhibits significant impairment with interpersonal functioning, ability to concentrate/complete tasks and ability to adapt to change, but the treatment history for the past two years is unknown, it is appropriate to consider the person positive for serious MI indicators.

Question: When PASRR Level I Screening is performed by a hospital discharge planner, if the individual has positive indicators of MI/MR/DD and does not meet the conditions of a Categorical Determination, does the hospital discharge planner request the Level II?

Answer: Yes, and the Level II must be completed prior to NF admission.

Question: If an individual will be discharged from a hospital Emergency Department to a NF, does the Categorical Determination of “Exempted Hospital Discharge” apply?

Answer: No. Rule, {OAR 411-070-0043(2)(a)(A)-(D)}, states that “Exempted Hospital Discharge” applies to individuals who have been admitted or who have had an observation-status hospitalization (23 hour admit).

If an individual will be discharged from a Hospital Emergency Department to a NF and the individual has positive indicators of serious MI/MR/DD and Does not meet conditions of one of the other Categorical Determinations (End of Life Care for Terminal Illness or Emergency Situation), Level II Evaluation must be completed prior to transfer to the NF.

Question: How does the Level II process that may be required prior to NF admission relate to the Level II used for a NF resident who is exhibiting new MH symptoms?

Answer:

It is really the same process.

Level I Screening is the first, “sort” to identify individuals with serious MI, MR/DD. For many reasons (including physical illness, unavailable information about history or lack of MI/MR/DD symptoms at the time of Level I Screening) the identification of serious MI/MR/DD may be “missed” by the Level I Screener.

PASRR applies to all NF residents for the duration of NF service. Any time during a resident’s NF stay that indicators of serious MI/MR/DD are identified, NF staff must notify the appropriate agencies. (Continued on next page)

The overall goals of PASRR are:

Ensure that applicants for long-term care NF service and NF residents with Serious Mental Illness (MI) or Mental Retardation/Developmental Disabilities (MR/DD) are:

–**Identified**

–**Placed Appropriately** (least restrictively)

Encourage transition to a community-based setting

–**Provided with the MI/MR/DD services they need**

Question: Are the “new” SDS 0460 forms for documenting the results of PASRR Level I Screening available?

Answer: Yes! Forms can be obtained in the following manner:

Telephone: (503) 373-1342,

Fax: (503) 373-0892

Email: *Forms.Distribution@das.or.us* _

Download ecopies: Forms can also be printed from the DHS forms web page using these links:

0460 - Word document:

http://dhsresources.hr.state.or.us/WORD_DOCS/SE0460.doc

0460 - PDF document:

<http://dhsforms.hr.state.or.us/Forms/Served/SE0460.pdf>

In addition: An optional, instruction form is available to assist workers with completion of the PASRR Level I process and documentation: SDS 0460INS. This form can be ordered using the instructions above and is also available electronically:

0460INS - Word document -

http://dhsresources.hr.state.or.us/WORD_DOCS/SE0460INS.doc

0460INS - PDF document -

<http://dhsforms.hr.state.or.us/Forms/Served/SE0460INS.pdf>

Question: For individuals who are NF residents, does the local office worker (PAS or case management) request the Resident Review?

Answer: No. The NF requests a Resident Review by completing Part A of form OMHS 0438 and faxing to the local Community Mental Health Program (CMHP). The NF must keep a copy of the form in the Resident Record.

Local office workers are encouraged to work collaboratively and proactively with nursing facilities to assure that staff have information about PASRR and/or direct them to SPD Central Office for training.

Question: Should “old” 460s be “used up” before ordering the new form?

Answer: No, the revised form 0460 released January 2008 includes the current Categorical Determinations, please discontinue using previous versions.

Question: The new form 0460 does not include “level of care” recommendation, how does the local office communicate/confirm with nursing facilities the authorization of Medicaid funds for nursing facility service?

Answer: Revised form 0460 released in January 2008 is exclusively for documenting the results of PASRR Level I Screening, and PASRR has nothing to do with payment. Earlier versions of form 0460 included “level of care recommendation” but that form was never a guarantee of payment. Local offices are encouraged to develop a system of communication with nursing facilities for notification of Medicaid admissions, authorization of payment and collaborative discharge planning.

Question: If PASRR Level I Screening (and form 0460) is completed by a hospital discharge planner, does the local office worker also complete a form 0460?

Answer: No, PASRR Level I Screening is done *once* for a nursing facility admission. Only one form documenting this screen is required in the NF Resident Record.

Question: If a nursing facility resident is hospitalized, is the PASRR Level I Screen repeated prior to return to the NF?

Answer: No, the initial Level I Screen is valid for the duration of time the individual is receiving NF service. If the individual is hospitalized and returns to the NF, Level I Screening is not repeated. If the individual transfers to a different NF, the form 0460 is part of the records transfer, a new PASRR Level I is not required.

Question: Do swing-bed stays require PASRR or PAS?

Answer:

PASRR Level I is required - For individuals transferring from acute care to a Medicare or Medicaid-certified bed.

PAS is required – To authorize Medicaid payment for NF service. PAS determines that service eligibility criteria are met for the NF benefit requested. PAS also has a key role in the identification, assessment and planning for transition for individuals from NF to HCBS.

Question: How does PASRR Level I Screening relate to Medicaid, Pre-Admission Screening (PAS)?

Answer: PASRR Level I Screening is performed by a variety of workers who are coordinating nursing facility admissions. PASRR applies to all nursing facility admissions and residents, regardless of payment source. PASRR Level I Screeners include hospital discharge planners, AAA/SPD case managers or PAS specialists, hospice, home health, medical clinic social workers and private admission assessors. (Continued on next page)

PAS functions pertain to individuals who will receive Medicaid-funding for a NF benefit; PAS determines the service eligibility for the benefit. PAS also has a key role in the identification, assessment and planning for transition for individuals from NF to HCBS. If a PAS worker is facilitating a NF admission, PASRR Level I Screening is required.

Question: For individuals who are NF residents, does the local office worker (PAS or case management) request the Resident Review?

Answer: No, the nursing facility requests a Resident Review by completing Part A of form OMHS 0438, faxing to the local CMHP and placing form 0438 in the Resident Record.

Local office workers are encouraged to work collaboratively and proactively with nursing facilities to assure that staff have information about PASRR and/or direct them to SPD Central Office for training.

More Information about PASRR:

PASRR includes Level I Screening, Level II/Resident Review Evaluation and Determination.

PASRR Level I Screening is performed by Hospital Discharge Planners, SPD/AAA workers, Hospice, Home Health and Private Admission Assessors.

For individuals with MR/DD, PASRR Level II/Resident Review is performed by Seniors and People with Disabilities HSU nurses.

For individuals with serious MI, PASRR Level II/Resident Review is directed by the Addictions and Mental Health Division (AMHD) and services are provided by CMHPs.

Rules for PASRR are found in OAR 411-070-0043

Questions?

Contact Renee Shearer, RN ~ PASRR, PAS or PAA

Program Coordinator

503-945-5923

Renee.M.Shearer@state.or.us