

	STATE OFFICE for SERVICES to CHILDREN and FAMILIES CLIENT SERVICES MANUAL I ISSUED BY: Program Operations EFFECTIVE DATE: July 1, 2001	NUMBER: I-C.3.1 OAR: 413-050-0200 / 300
		SECTION: C. Supportive Services
		SUBSECTION: 3. Day Care
SUBJECT: 1. Supportive/Remedial Day Care - Oregon Administrative Rule		

Interpretation: Program Coordinator,
Supportive/Remedial Day Care

Approval: _____
Assistant Administrator,
Program Operations

REFERENCES: CF 977, "Payment Policies and Procedures"
CF 166, "Supportive/Remedial Day Care Payment
Authorization/Termination Notice"
CF 238, "Supplemental Client Invoice - Day Care"

PURPOSE

413-050-0200 The purpose of these rules is to define key terms, describe eligibility criteria and rate payment policies related to the State Office for Services to Children and Families Supportive/Remedial Day Care program. Expenditures by SOSCF under these rules are subject to the availability of state or federal funds, as applicable, and are subject to immediate curtailment by SOSCF if the necessary state or federal authorizations or funding are curtailed.

Statutory Authority: ORS 418.005
Stats. Implemented: ORS 418.005

DEFINITION

413-050-0210 "Authorized Absence" means the temporary absence from the facility by a child who is expected to return to care.

Statutory Authority: ORS 418.005
Stats. Implemented: ORS 418.005

POLICY

ELIGIBILITY CRITERIA

413-050-0220 (1) SOSCF may purchase Supportive/Remedial Day Care Services for children under 13 years of age who are receiving Preventive/Restorative services, Child Protective Services, Substitute Care, or Adoption Services when the child(ren)'s physical, social, mental or emotional needs are not being met and day care will reduce the need for substitute care placement.

(2) Supportive/Remedial Day Care is to be used in the following priority order:

(a) When a child would be able to remain at home as an alternative to substitute care, or to return home from substitute care through specialized day care planning;

(b) When a foster care or adoptive placement is in jeopardy due to a foster or adoptive parent's illness, or there is a need for temporary respite due to extreme care demands of the child;

(c) When a parent is unable to meet the child's needs due to extreme physical or emotional stress

(d) When a child's physical, social, mental, or emotional development is being retarded or is at risk due to lack of proper care or stimulation.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

SERVICE AUTHORIZATION

413-050-0230 (1) Case Record Documentation:

(a) The service worker must review the appropriateness of day care service as a component of the total service plan. The day care service must be part of a coordinated, goal oriented, time limited casework plan to reduce the need for substitute care;

(b) The case record must document how the service will support or assist in achieving the service plan objectives. The use of day care services must be approved

by the service supervisor;

(c) Each service is to be coded per IIS code sheet showing the relationship to prevention of substitute care.

(2) Limits of Eligibility:

(a) The maximum time SOSCF will authorize Supportive/Remedial Day Care for any one child is eight hours a day, five days a week;

(b) The use of the day care service shall be reviewed by the service worker and supervisor at least once every three months. The service authorization may be extended for three months at a time to assist in meeting the service goal to a maximum of 12 months;

(c) The service may be used more than 12 months only to prevent imminent foster care or prevent shelter care. Extension past 12 months shall be reviewed and approved by the branch Substitute Care Review Committee;

(d) If all allocated funds are expended, service workers are to document unmet needs and notify the branch manager.

(3) Select a Provider:

(a) Day Care Home or Center. SOSCF shall assist the parent in evaluating day care providers in order to select the provider which most closely matches the needs of the child and the family. The family should participate in selecting the provider but the service worker shall make final determination based on the needs of the child and goals of the case plan.

(b) In-Home Care:

(A) SOSCF does not license or contract with in-home day care providers. Therefore, prior to authorizing in-home care the service worker shall:

(i) Determine if the person is known to SOSCF using the Central Provider and Client Index; and

(ii) Determine that the provider is at least 18 years of age

and is willing and able to provide the quantity and quality of care needed by the child(ren). Valid reasons for not using a person include, but are not limited to: conviction of child abuse, a founded child abuse referral, behavior which may have a detrimental effect on a child, or physical or mental problem which would adversely affect a child; and

(iii) Obtain a provider number (see IIS User's Guide, Provider Subsystem); and

(iv) Explain to the provider the CF 977, "Payment Policies and Procedures."

(B) In-Home Care may be authorized when:

(i) A child, who is ordinarily in day care purchased by SOSCF, is ill. This is limited to no more than five days of care in one calendar month and shall not exceed the number of hours per day already authorized; or

(ii) A handicapped child requires care and no out-of-home day care is available or can be developed; or

(iii) The plan for in-home care does not exceed the cost of out-of-home day care.

(C) The in-home care provider will be paid at the minimum wage.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

PAYMENT PROCESS

413-050-0240 SOSCF payment is subject to SOSCF established eligibility conditions described in these rules.

(1) SOSCF will pay only for day care authorized by SOSCF.

(2) If a child is in day care when the service plan is made, payment shall be made only from the date the service is authorized.

(3) SOSCF will make payments for temporary absences if requested by the provider, subject to the following requirements and limits:

(a) The provider must use the same policy for both SOSCF and non-SOSCF families;

(b) The child must be expected to continue in day care with the same provider after the absence;

(c) SOSCF will not make payment for absence(s) exceeding a total of five days in any calendar month; and

(d) Absence days, or portions thereof, will include only the time(s) for which care has been authorized by SOSCF.

(4) SOSCF payment will only be made to a day care provider who is certified or registered by the Child Care Division of the Employment Department.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

PAYMENT AUTHORIZATION

413-050-0250 (1) Complete a "Supportive/Remedial Day Care Payment Authorization/Termination Notice" (CF 116) and a "Plan/Service Authorization" (CF 308). Distribute the CF 116 and input information from the CF 308 into IIS.

(2) Invoices (See the Integrated Information System (IIS) User's Guide, Client Subsystem.):

(a) A "Day Care Invoice" (CPO 350FI-A) will be generated automatically and mailed to the provider from SOSCF Central Office when information from the CF 308 has been input no later than the seventh working day prior to the end of the service month;

(b) If information from the CF 308 has not been input within the above stated time, or a supplemental payment is to be made, branch staff shall send a CF 283, "Supplemental Client Invoice-Day Care," to the care provider;

(c) Checks are written up to the amount authorized. Authorization should be input into IIS prior to service provision whenever possible. The worker will be notified of a discrepancy between authorization and billing amounts by Central

Office Accounting Services.

(A) If the provider billed SOSCF for additional care that was authorized but not input, the branch must prepare a CF 283, "Supplemental Invoice." Complete the invoice for the difference to be paid showing the unit, rate, and amount. SOSCF staff shall sign the provider's name and their own name and mail it to Accounting Services, Central Office;

(B) If the provider did not bill SOSCF for additional care that was authorized but not input, the branch must send a CF 283 to the provider to complete and mail to Accounting Services, Central Office;

(C) A CF 308 must also be completed to authorize additional service. For additional service the "Type of Service" code is DSUP for out-of-home providers and DISP for care provided in the child's home.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

PAYMENT REVISION OR PLAN CLOSURE

413-050-260 (1) When a child is no longer in care or the client becomes ineligible prior to the projected end date, the service worker must notify the provider and the client that SOSCF will no longer pay for care. This is done by sending a copy of the most recent CF 116 with the termination section completed.

(2) When a child who is ordinarily in day care purchased by SOSCF is ill an additional payment may be made to a substitute provider.

(3) Any time there is an overpayment the worker must initiate overpayment and/or fraud procedures. See SOSCF Policy III-B.1.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

BILLING METHOD

413-050-270 (1) SOSCF payments for day care are made by check to the provider on behalf of the client after all care for the month has been given. The invoice is to be submitted to SOSCF Central Office by the provider for payment.

(2) Family day care providers must bill at an hourly rate (with the exception that they may bill at a daily rate for before and/or after school care) not to exceed the total authorized.

(3) Center and group home providers may bill at an hourly, daily, weekly, or monthly rate not to exceed the total authorized.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

DETERMINING DAY CARE RATES FOR PAYMENT

413-050-0280 (1) SOSCF will pay the provider's standard rate for all children or the SOSCF maximum rate (see OAR 413-050-0290), whichever is less. The allowable rate shall be entered on the CF 116, "Supportive/Remedial Day Care Payment Authorization/Termination Notice."

(2) The provider shall not ask for, or accept, directly or indirectly, any additional payment for care provided to a SOSCF eligible family unless there is a written agreement between SOSCF and the provider. (See CF 116A, "Request for an Exception.")

(3) (a) When the infant rate has been authorized for a child and the child reaches 30 months of age, the rate must be revised. Service workers are responsible for making this change effective no later than the first of the month after the child reaches 30 months of age.

(b) The service worker shall send the provider a revised CF 116, and revise the Type of Service and authorized amounts on the CF 308 and input into IIS.

(4) (a) Although there are no special day care rates for children who are physically, developmentally and/or emotionally disabled, when a child 30 months of age or over functions below chronological age, SOSCF may authorize up to the infant rate for the care of the identified child.

(b) The service worker, with supervisory approval, must document in the case record why the infant rate is being authorized for a child 30 months or older. Documentation must include the following:

(A) That effort was made to locate a resource at the SOSCF non-infant rate; and

(B) Description of the specific problem which requires services above those covered by the non-infant rate.

(5) Rates charged to SOSCF for day care services may not exceed rates charged for comparable services to non-SOSCF children:

(a) Donations and subsidies of cash or in-kind services may be used to reduce charges which would otherwise be made for day care services;

(b) Such donations and subsidies must be used to reduce charges for all children in care unless the donor specifies in writing that the donation is to be used for either a specific family or category of families;

(c) Separate records shall be kept by the provider for all donations and subsidies received and disbursed.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

MAXIMUM RATES

413-050-0290 (1) The maximum rates the State Office for Services to Children and Families pays for Supportive/Remedial Day Care are determined annually and will be furnished upon request.

(2) In-home Care: Minimum wage for care in a child's own home is governed by the state minimum wage law. It may not be negotiated. Overtime at one-and-one-half times the regular rate must be paid for all hours worked in excess of 40 hours in a work week (seven sequential days). Overtime shall not be paid to a provider who lives in the child's home.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005

EXCEPTIONS

413-050-0300 (1) Specific exceptions to any section of these rules may be granted for good and just cause by SOSCF. The exception must be requested in writing and show how the intent of the rule will be met.

(2) No exception will be granted which may jeopardize the health, safety, and well-being of any child in care.

(3) All exceptions must be approved by the person designated by SOSCF administration and be on file in the case record. The granting of an exception shall not constitute a precedent for any other provider or client.

Statutory Authority: ORS 418.005

Stats. Implemented: ORS 418.005